|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | YES | NO | N/A | COMMENTS |
| **Personnel**  1. Is appropriate warning and informational signage in place? (e.g., “NO TRESPASSING,” “AUTHORIZED PERSONNEL ONLY”) |  |  |  |  |
| 2. Are access keys or codes only supplied to authorized individuals? |  |  |  |  |
| **Operational Security**   1. Is the area periodically patrolled by:    * Internal security force    * Local law enforcement agency    * Memorandum of understanding (MOU) in force    * Neighborhood watch    * Other |  |  |  |  |
| 1. Are facilities periodically inspected?    * What is the frequency of inspection? |  |  |  |  |
| **Physical Security**   1. Is perimeter fenced and gated?    * Fencing in good condition and secure to ground (no more than a 6” gap to prevent entry underneath)    * Fencing at least 4 feet higher than any structure or landscaping that may provide climbing access    * Fencing at least 6 feet away from any structure or landscaping that may provide climbing access    * Gates locked |  |  |  |  |
| 2. Are access roads gated and locked? |  |  |  |  |
| 3. Is perimeter fence clearly visible and free from brush, trash, or other barriers? |  |  |  |  |
| 4. Is there appropriate exterior lighting?  Do the lights function well? |  |  |  |  |
| 5. Are there tamper-proof locks? |  |  |  |  |
| 6. Are other access controls operational? |  |  |  |  |
| 7. How are alarms monitored? |  |  |  |  |
| 8. Are alarm response procedures in place? |  |  |  |  |
| **Biological/Chemical Contamination**  1. Is direct access to water protected? |  |  |  |  |
| 1. Are monitoring systems:    * In place?    * Operational?    * Tested?    * Have an associated response plan? |  |  |  |  |
| **Cyber/SCADA/Communications**   1. Alarms    * Internally monitored?    * Externally monitored?    * Have an associated response plan? |  |  |  |  |

**Field Assessment Checklist for Small Systems Critical Assets   
(based on Appendix B of AWWA’s 2002 Water System Security: A Field Guide)**