|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | YES | NO | N/A | COMMENTS |
| **Personnel**1. Is appropriate warning and informational signage in place? (e.g., “NO TRESPASSING,” “AUTHORIZED PERSONNEL ONLY”) |  |  |  |  |
| 2. Are access keys or codes only supplied to authorized individuals? |  |  |  |  |
| **Operational Security**1. Is the area periodically patrolled by:
	* Internal security force
	* Local law enforcement agency
	* Memorandum of understanding (MOU) in force
	* Neighborhood watch
	* Other
 |  |  |  |  |
| 1. Are facilities periodically inspected?
	* What is the frequency of inspection?
 |  |  |  |  |
| **Physical Security**1. Is perimeter fenced and gated?
	* Fencing in good condition and secure to ground (no more than a 6” gap to prevent entry underneath)
	* Fencing at least 4 feet higher than any structure or landscaping that may provide climbing access
	* Fencing at least 6 feet away from any structure or landscaping that may provide climbing access
	* Gates locked
 |  |  |  |  |
| 2. Are access roads gated and locked? |  |  |  |  |
| 3. Is perimeter fence clearly visible and free from brush, trash, or other barriers? |  |  |  |  |
| 4. Is there appropriate exterior lighting? Do the lights function well?  |  |  |  |  |
| 5. Are there tamper-proof locks? |  |  |  |  |
| 6. Are other access controls operational? |  |  |  |  |
| 7. How are alarms monitored? |  |  |  |  |
| 8. Are alarm response procedures in place? |  |  |  |  |
| **Biological/Chemical Contamination**1. Is direct access to water protected? |  |  |  |  |
| 1. Are monitoring systems:
	* In place?
	* Operational?
	* Tested?
	* Have an associated response plan?
 |  |  |  |  |
| **Cyber/SCADA/Communications**1. Alarms
	* Internally monitored?
	* Externally monitored?
	* Have an associated response plan?
 |  |  |  |  |

**Field Assessment Checklist for Small Systems Critical Assets
(based on Appendix B of AWWA’s 2002 Water System Security: A Field Guide)**